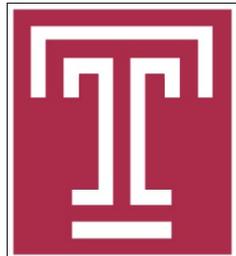


# On Efficient Data Collection Using Autonomous Underwater Vehicles

Jie Wu

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# Road Map

1. Introduction
2. Optimal AUV Resurfacing
3. Constructing A Cycle
4. Cycle Enhancement
5. Extensions
6. Experiments
7. Conclusions and Future Work



# 1. Introduction

- Earth is mostly sea

- > 70 % of the surface

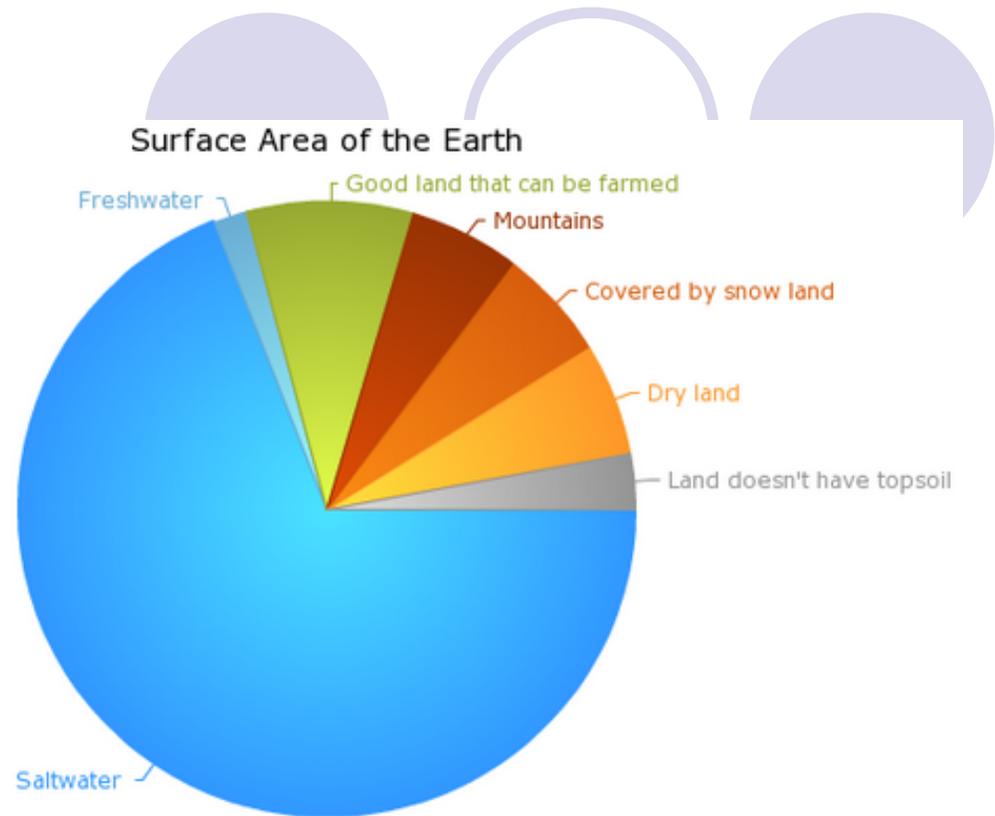
- Signal propagation

- Electromagnetic signal decays quickly in the water

- Acoustic signal has limited bandwidth and long delay

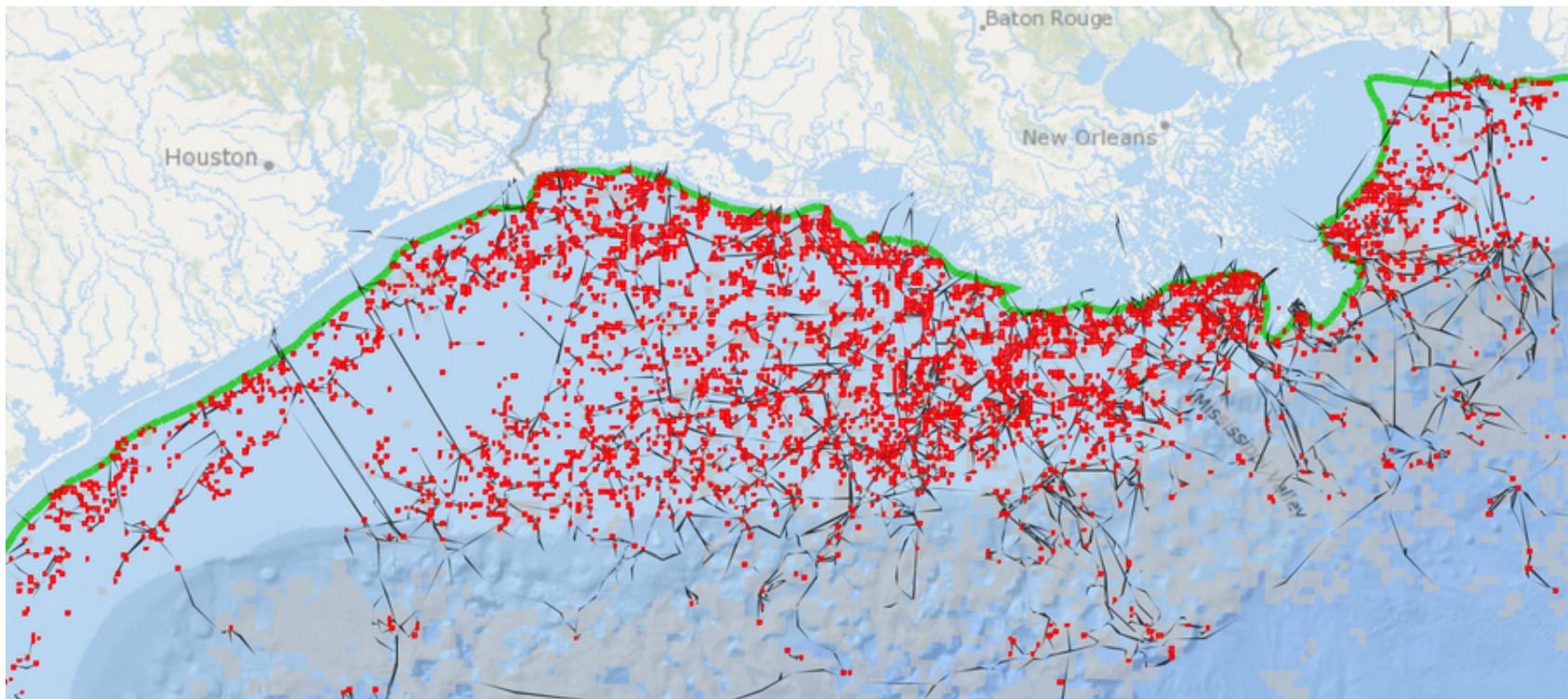
- Speed: 10 kbps

- Distance: 100 m

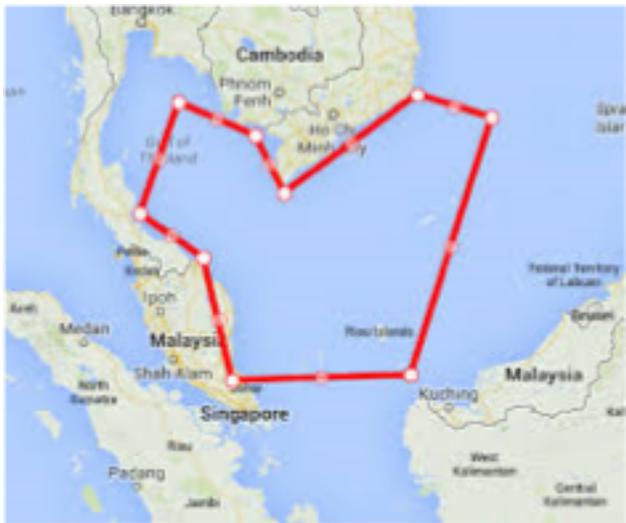


# 1. Introduction

- Efficient search in deep sea is notoriously difficult
  - The detection of **oil pipe leak** in Mexico



# 1. Introduction



## Malaysia Airlines MH 370

- DigitalGlobe
  - Crowdsourcing volunteers comb satellite photos for Malaysia Airlines jet
- March 11, 2014 (from CSU prof. email)

I just saw on our local Denver Fox news (KDVR.com) that a local company, DigitalGlobe, has reoriented their satellites to take high-res images in the area where the plane may have crashed. Crowdsourcing efforts are on to have people scan these images and find signs of debris. **I was reminded of Jie Wu's talk earlier this month.**

# 1. Introduction

- Multi-tiered networks

- In the air  
Unmanned Aerial Vehicle (UAV)

- On the ground

- Under the sea

- Autonomous Underwater Vehicle (AUV)

- Communication

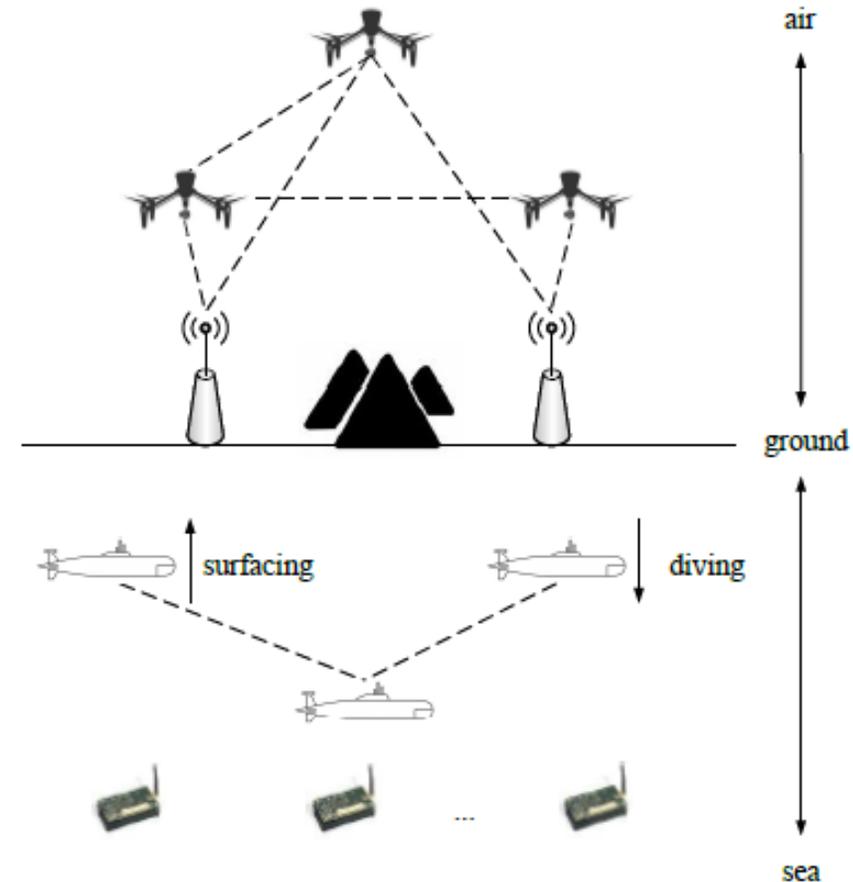
- A2A (Air-to-Air), A2G, and G2A

- G2G (Ground-to-Ground)

- U2U (Underwater-to-Underwater), U2G, and G2U

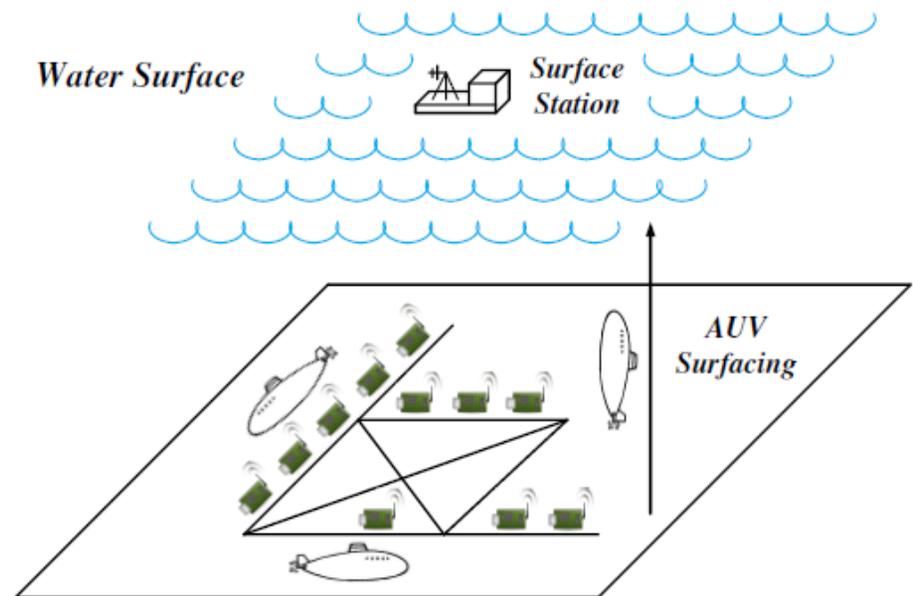
UAVs and AUVs: **swarm intelligence**

J. Wu, "A Multi-tiered Network with Aerial and Ground Coverage,"  
Computer Communications, 40-years special issue, 2018



# 1. Introduction

- Surfacing of multiple **AUVs** to transmit collected data
  - Parallel 2-D search space (a set of connected line segments) to the water surface
- Examples:
  - Undersea tunnel
    - Depth: 75m~300m
  - Sensors on oil pipes
    - Depth: 200m~5,000m
  - Submarine cable
    - Depth: up to 8,000m



# How to Solve It

- If you can't solve a problem, then there is an **easier problem** you can solve: find it
- **Four principles**
  - Understand the problem
  - Devise a plan
  - Carry out the plan
  - Look back

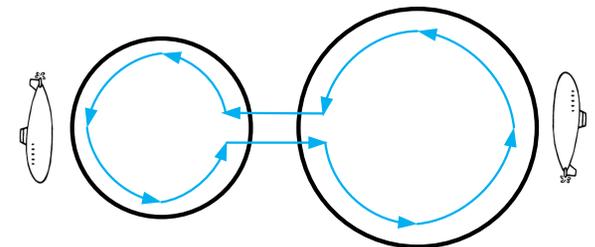
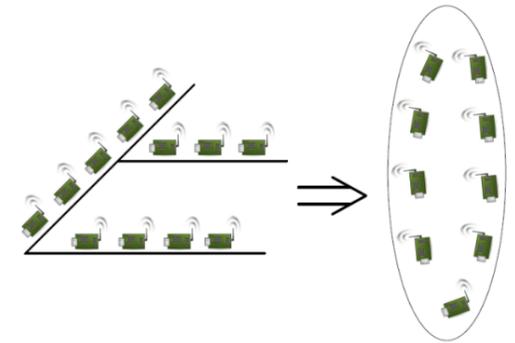
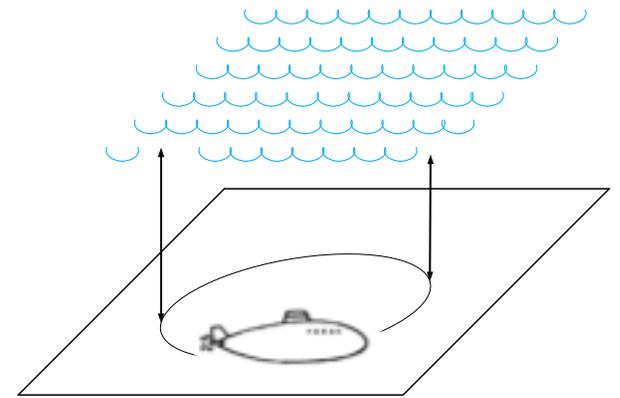


Polya

## 2. Optimal AUV Resurfacing

- AUV trajectory planning: minimizing the average delay

- How can we schedule AUVs to resurface optimally in a circular search space (Eulerian cycle)?
- How can we schedule multiple AUVs to resurface in general search space?
- How can we convert a search space to a circular search space?
- How can we merge the cycles to reduce the average delay?

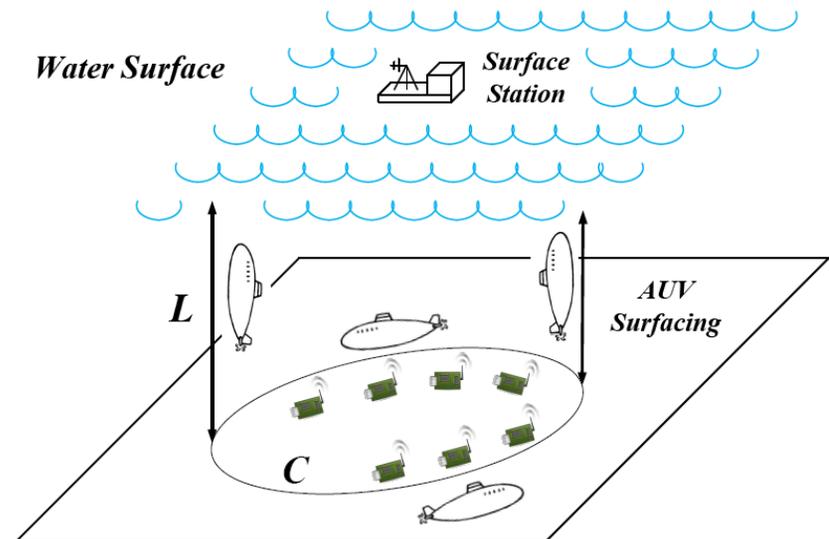


## 2. Optimal AUV Resurfacing

- Data are uniformly distributed with a **fixed generation rate**
- **Objective:** minimize the **long-term** average delay to the water surface
- The speed of a AUV is unit
  - $C$  : the cycle circumference
  - $L$  : the depth of the search space
  - $k$  : the frequency of resurfacing

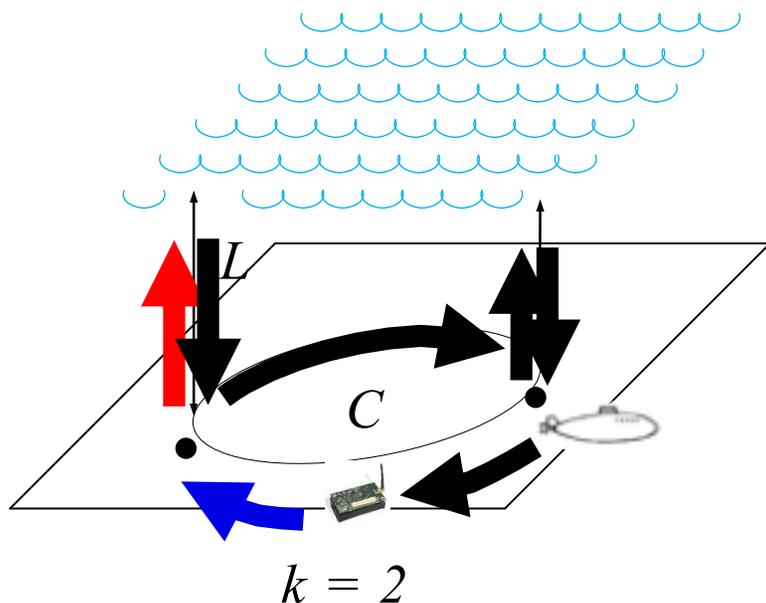
Unit speed through distance scaling

(cruising speed: 37 km/h, diving/surfacing: 26 km/h, current: 5 km/h)



## 2. Optimal AUV Resurfacing

- A larger AUV resurfacing frequency
  - can bring node A's data to the water surface more quickly
  - However, node A's data needs to wait for the next AUV for a longer time, since resurfacing takes additional time



$$D = \frac{C + 2kL}{2} + \frac{C}{2k} + L$$

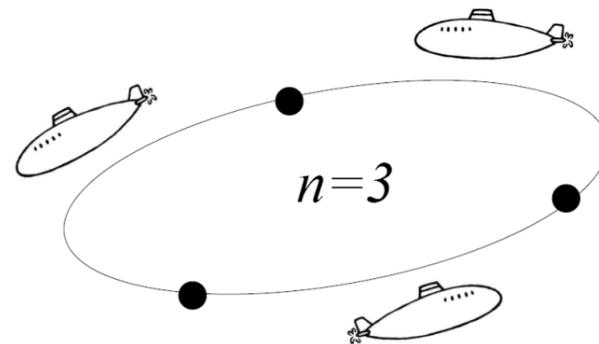
$$D_{\min} = \frac{C}{2} + \sqrt{2LC} + L \text{ when}$$

$$k = \sqrt{\frac{C}{2L}}$$

$$C/k = \sqrt{2LC}$$

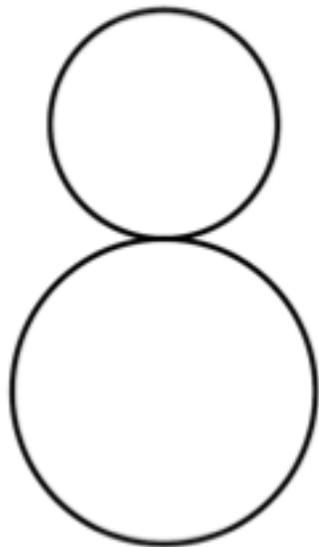
## 2. Optimal AUV Resurfacing

- **Theorem 1:** Optimally, the AUV resurfaces after traveling a distance of  $\sqrt{2LC}$  on the original cycle (if only one AUV is used)
- If we have multiple AUVs ( $n$  AUVs)
  - Evenly distribute these AUVs on the cycle
  - Each AUVs resurfaces after traveling a distance of  $\sqrt{\frac{2LC}{n}}$

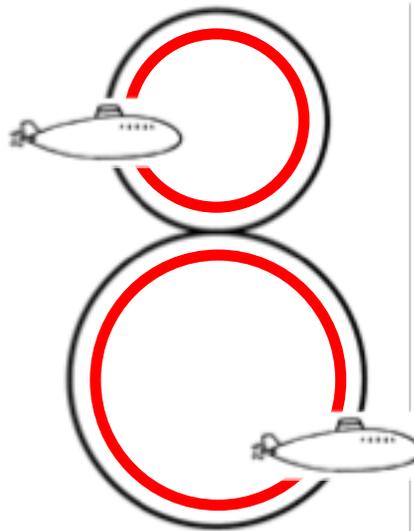


# 3. Constructing A Cycle

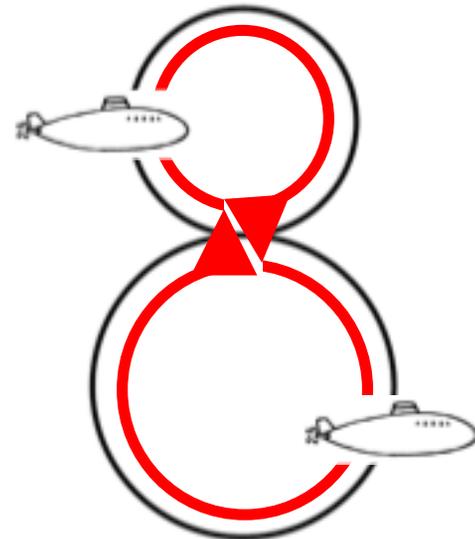
- Why do we use **only one large cycle** instead of **multiple small cycles** to cover the search space?



Search space



Scheme 1  
(non-shared)



Scheme 2  
(shared)

- Theorem 2:** Scheme 2 is no worse than Scheme 1, due to more balanced cycling tasks among AUVs.

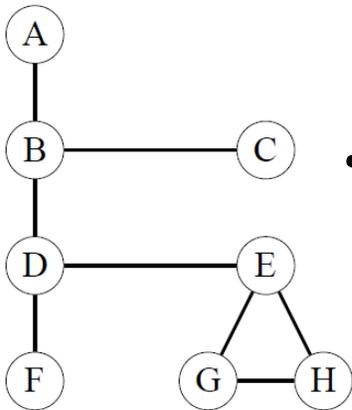
# 3. Constructing A Cycle

- General search space: a set of connected line segments (called *sensing edges* in the graph)
- Graph with an even degree for every vertex
  - An *Eulerian cycle* exists (i.e., a cycle that visits each edge once and only once)
- Graph has vertices with odd degrees
  - Add redundant edges to make odd degree even
  - We need to minimize pairwise odd degree nodes by adding one link (There is an even number of vertices with odd degrees)

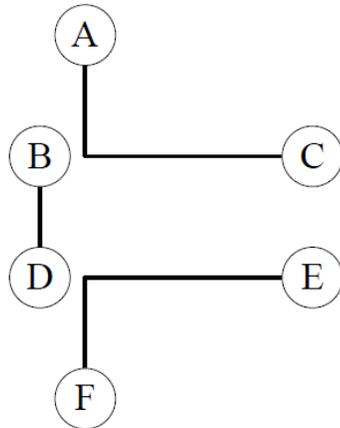
# 3. Constructing A Cycle

Algorithm 1: construct an Eulerian cycle by adding sensing edges

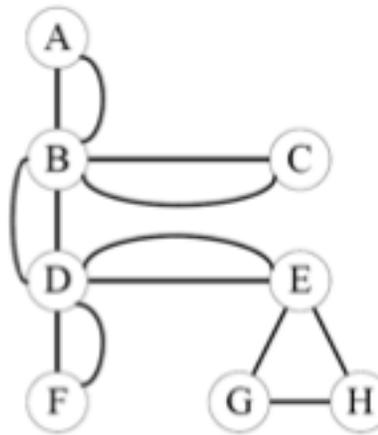
Given graph



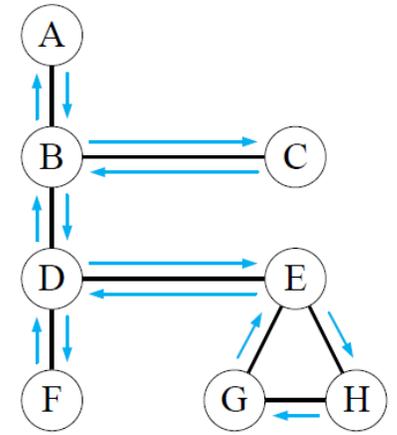
Odd-degree vertex matching



Combined graph



Hierholzer's algorithm

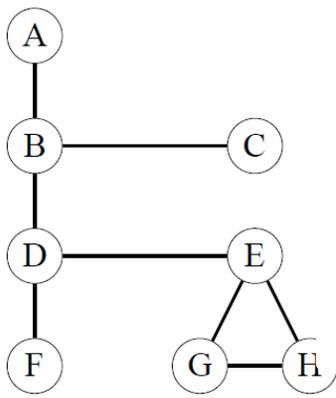


- Some sensing edges are visited for multiple times

# 4. Cycle Enhancement

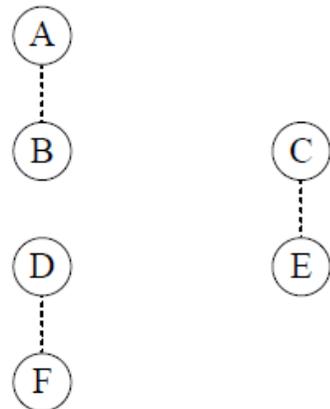
- **Geometric shortest non-sensing edges** (which may not be in the search space) can shorten the cycle circumference, although no data is collected from them
- **Algorithm 2: construct the cycle by adding non-sensing edges**

Given graph



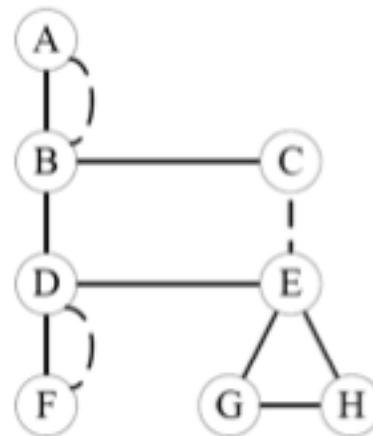
+

Odd-degree vertex matching

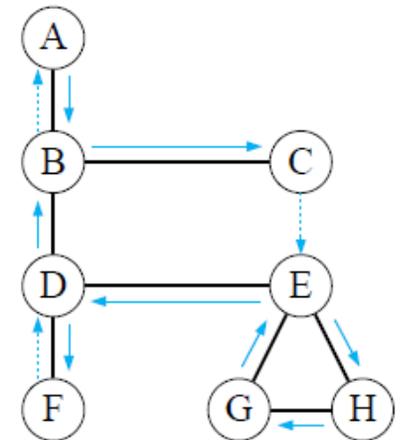


=

Combined graph



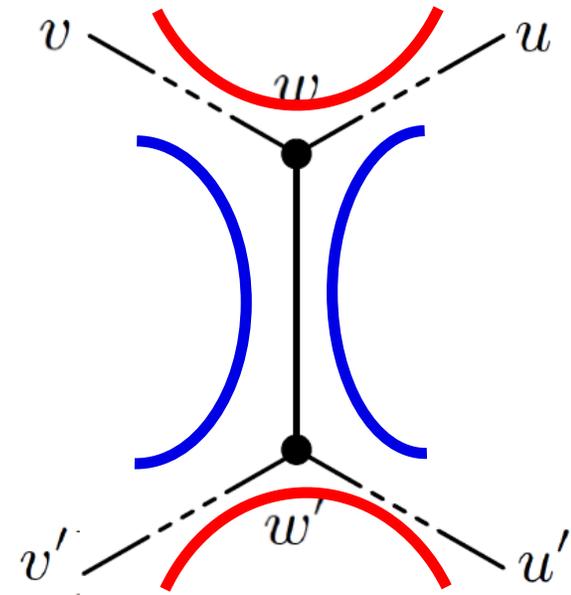
Hierholzer's algorithm



# 4. Cycle Enhancement

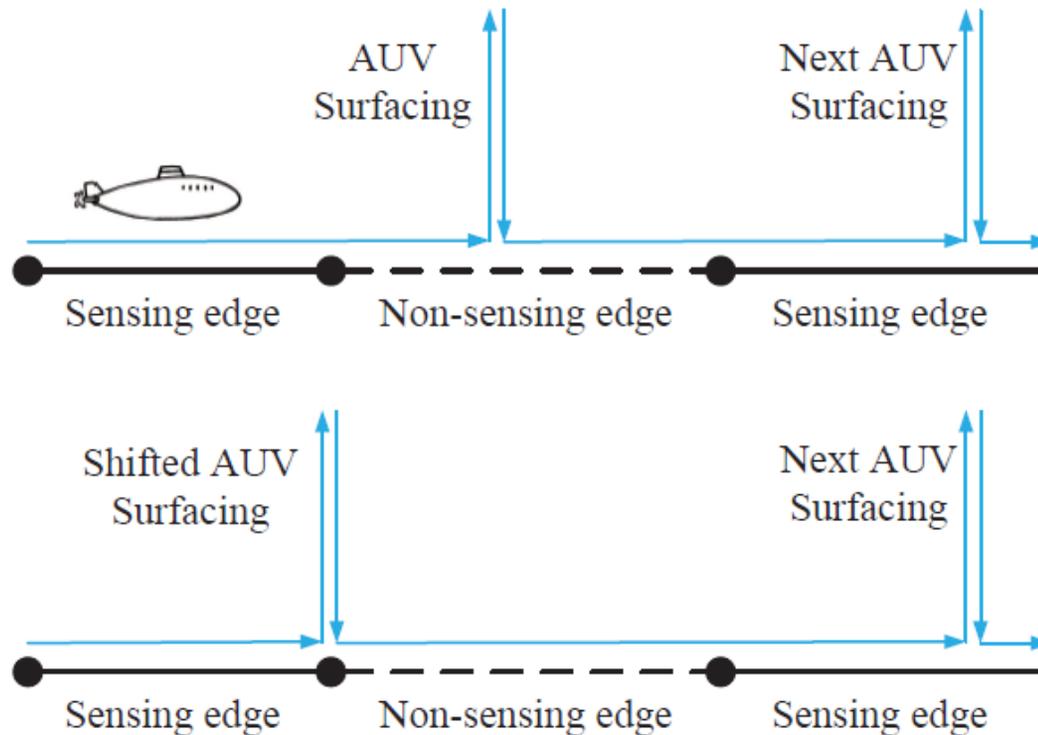
- **Theorem 3:** In the enhanced cycle construction, the total length of the non-sensing edges is no larger than the total length of sensing edges.

- No single edge will appear in the shortest paths of two matching pairs using sensing edges
- In the worst case, all the edges in the given graph are used once in pair matching
- Moreover, non-sensing edges provide "short-cuts" for all pairs using sensing edges



# 4. Cycle Enhancement

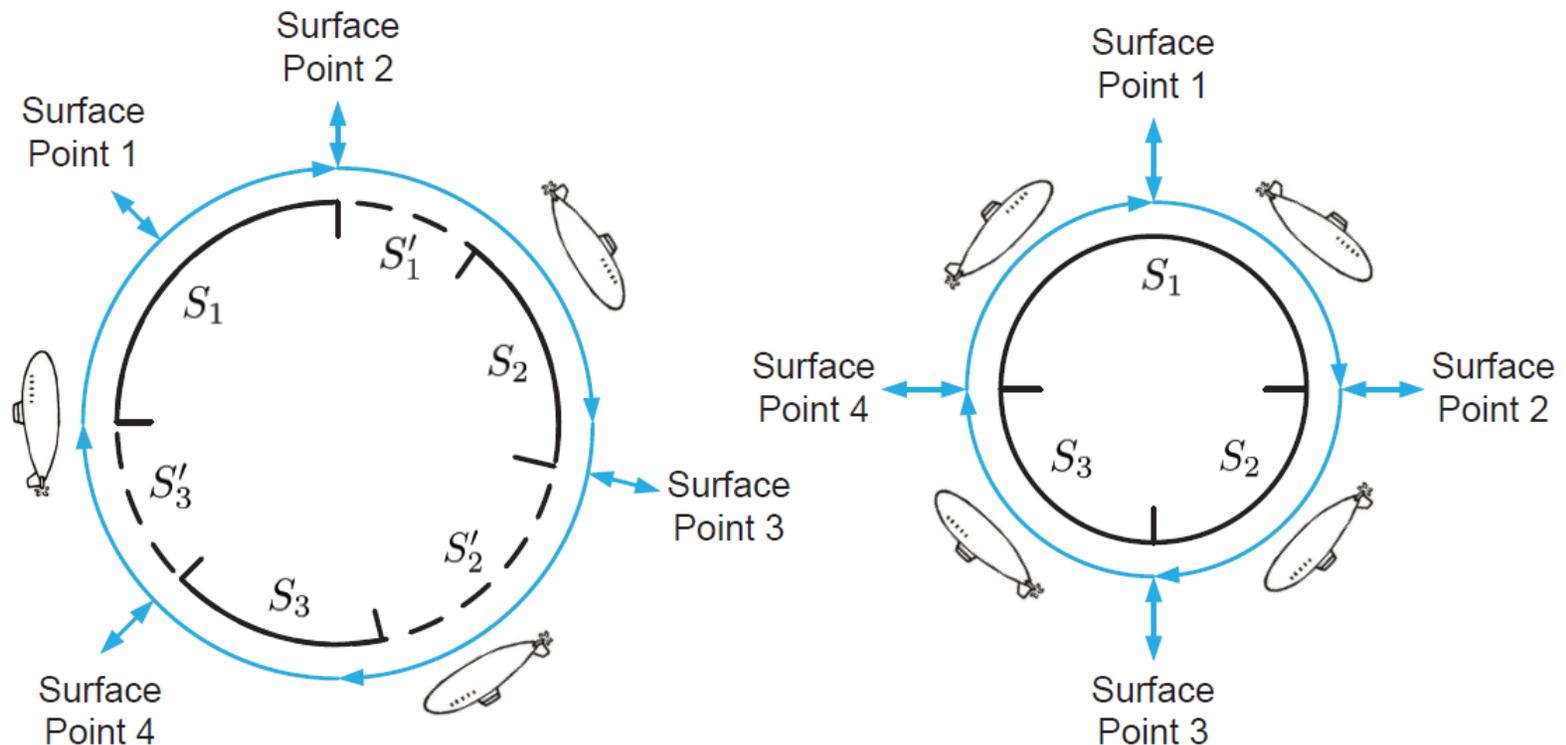
**Algorithm 2s** (cycles with non-sensing edges): shift the surface point from each non-sensing edge to the end of the last sensing edge (i.e., change resurfacing locations)



# 4. Cycle Enhancement

Algorithm 2r (cycles with non-sensing edges): by removing non-sensing edges (and change both resurfacing frequency and locations)

- Optimal when  $C^*$  is the total circumference of sensing edges and the length of each sensing edge is an integer multiple of  $\sqrt{2LC^*}$



# 5. Extensions

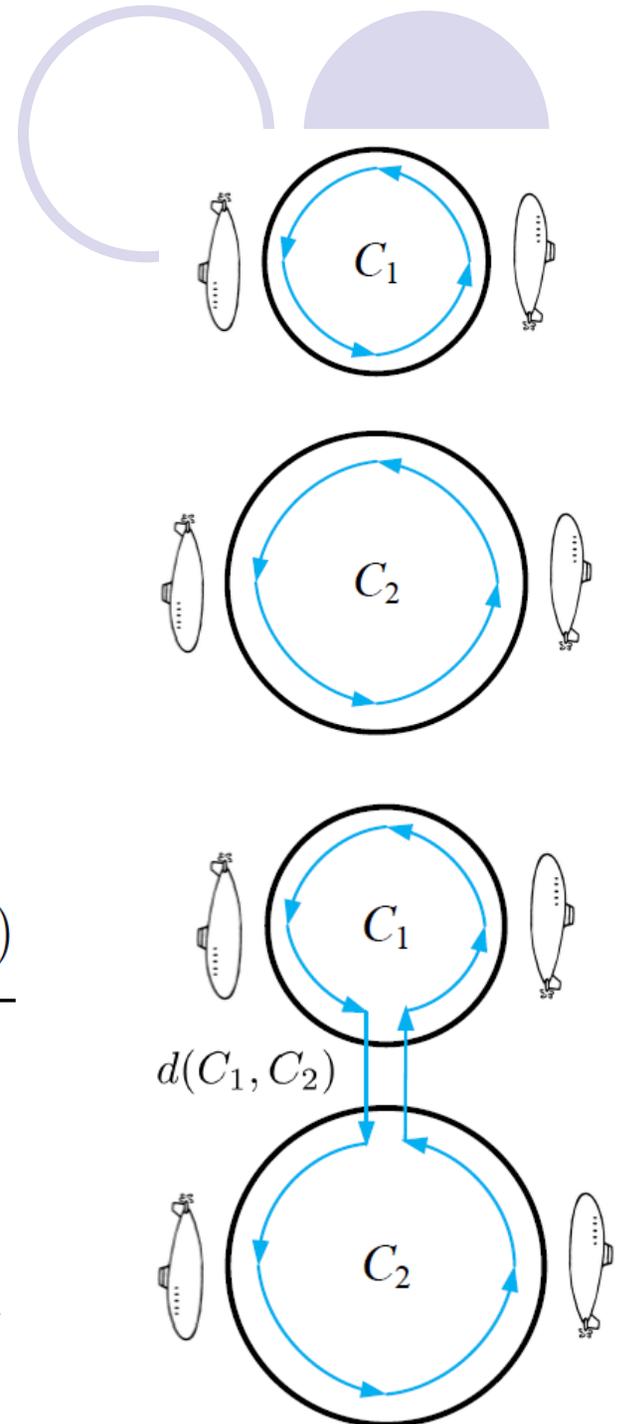
- Greedy Cycle Merge

- Initialize each connected component in the search space as a cycle
- Merge two cycles in each greedy iteration
- Average data delay before merge

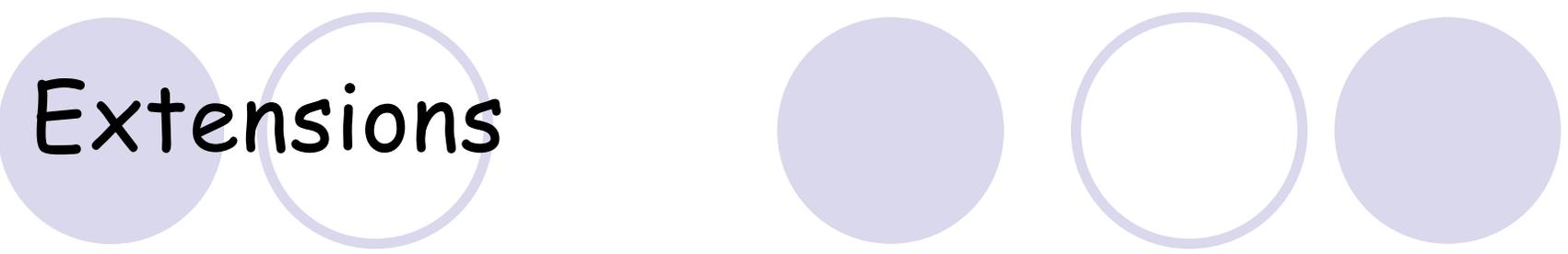
$$\frac{C_1 \times \left( \frac{C_1}{2n_1} + \sqrt{\frac{2LC_1}{n_1}} + L \right) + C_2 \times \left( \frac{C_2}{2n_2} + \sqrt{\frac{2LC_2}{n_2}} + L \right)}{C_1 + C_2}$$

- Estimated average data delay after merge

$$\frac{C_1 + C_2 + 2d(C_1, C_2)}{2(n_1 + n_2)} + \sqrt{\frac{2L[C_1 + C_2 + 2d(C_1, C_2)]}{(n_1 + n_2)}} + L$$



# 5. Extensions



- Two-way Merge Criterion

- Algorithm 3: largest average delay reduction

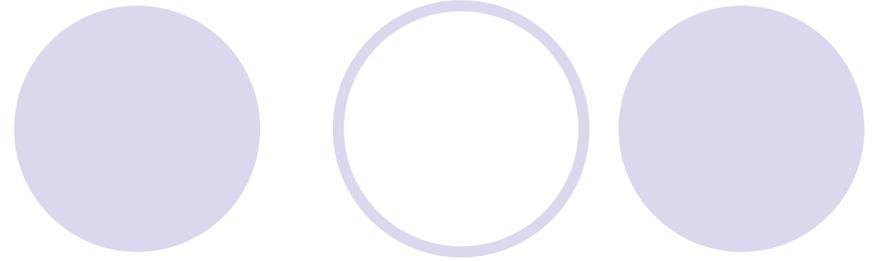
- Algorithm 4: largest cycle circumference difference (with delay reduction threshold)

- Algorithm 5: closest geographical distance (with delay reduction threshold)

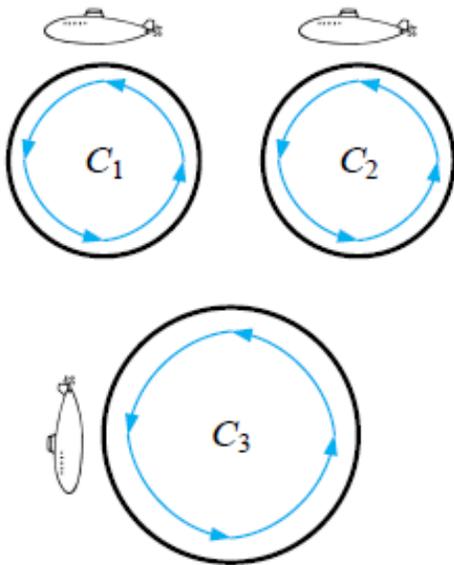
- Merge Termination

- When no merges are available

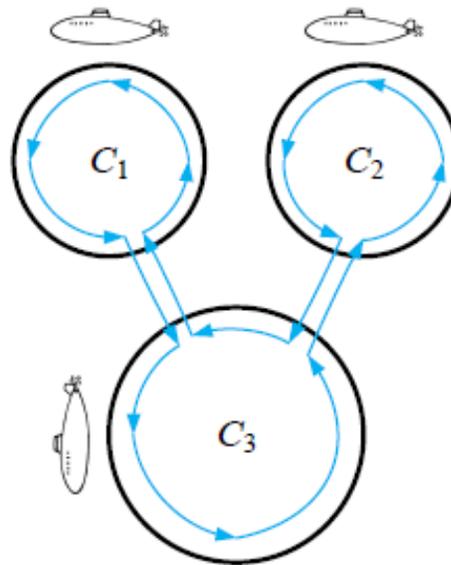
# 5. Extensions



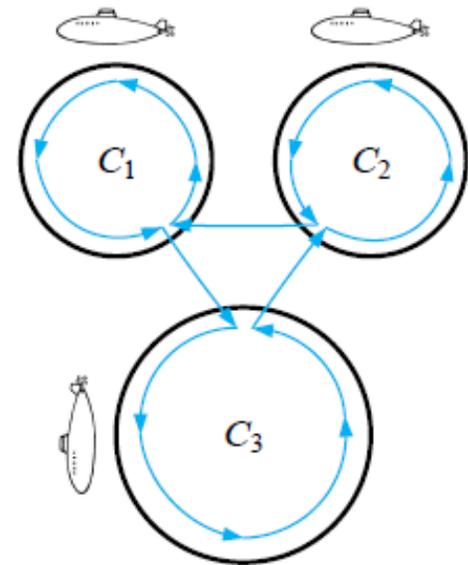
- Three-way merge



(a) Three cycles.



(b) Two-way merge.

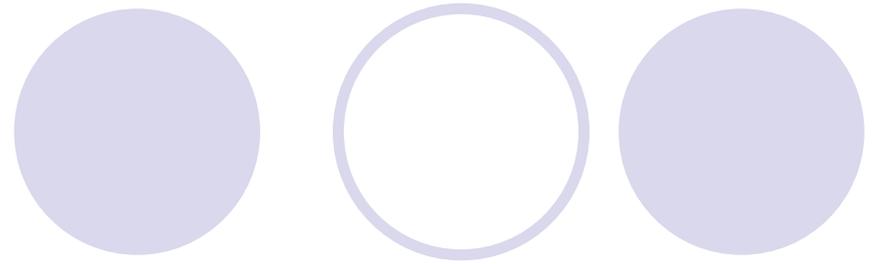


(c) Three-way merge.

- Parallel Cycle Merge Implementation

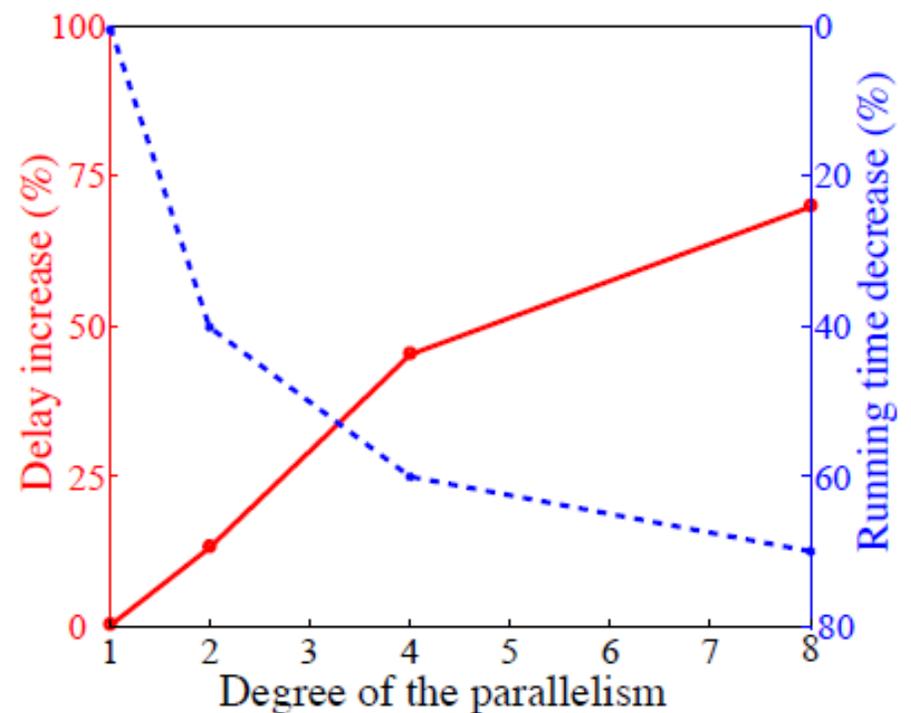
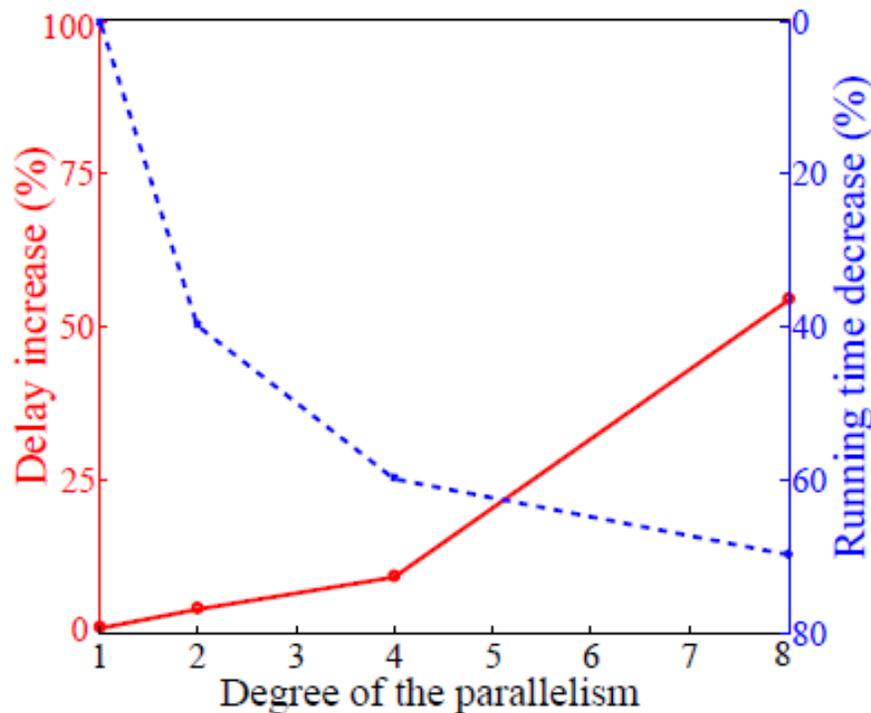
- Parallelism by dividing the scenario into small regions

# 5. Extensions



- Parallelism performance tradeoff

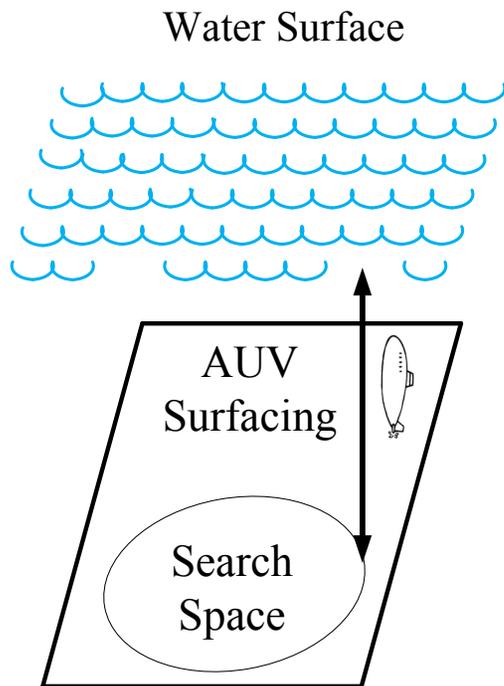
- 500m by 500m with 10 cycles (sparse) and 25 cycles (dense)
- Circle circumference is randomly chosen from 40m, 60m, 80m



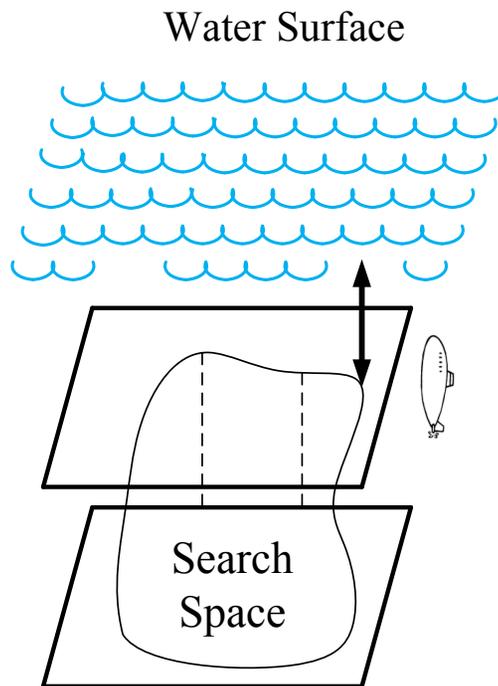
# 5. Extensions

- 3-D search space

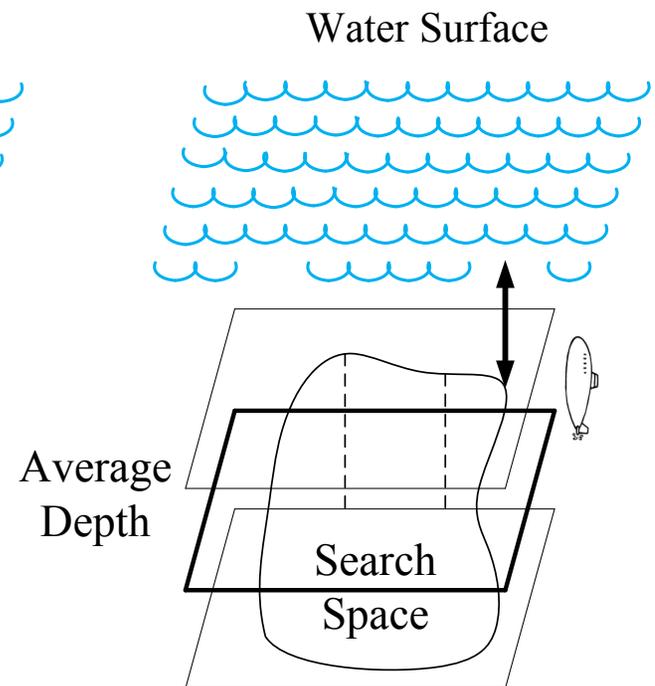
- Use average sea depth to estimate



Basic 2-D scenario



General 3-D scenario

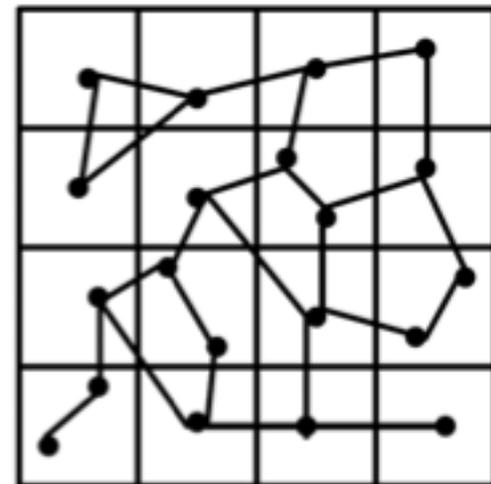
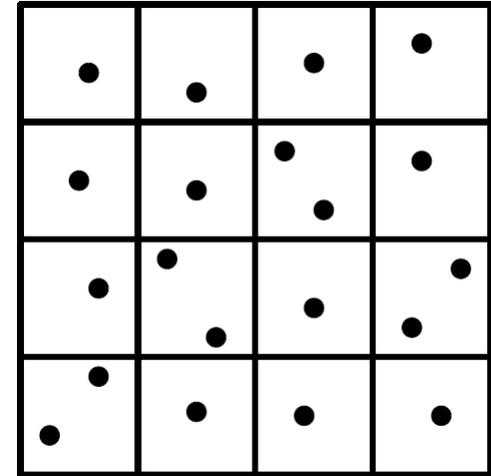


Average depth

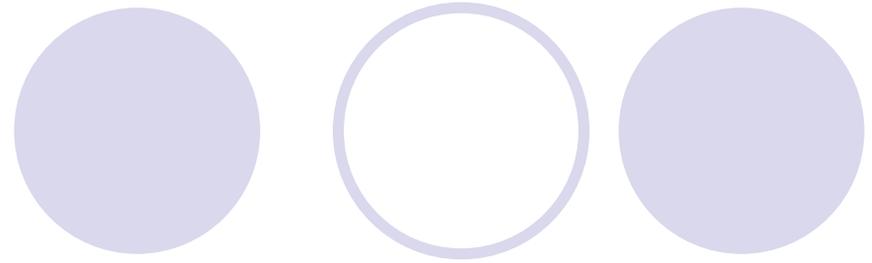
# 6. Experiments

- Settings

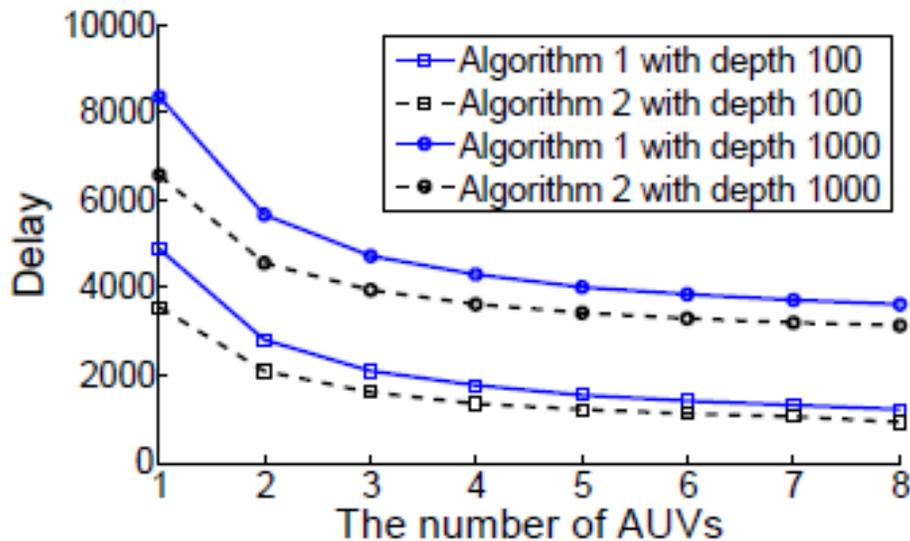
- The test is based on a synthetic trace
- A  $100 \times 100$  square unit with a depth 100
- To guarantee the graph connectivity, a spanning tree is constructed
- Additional edges, with given total numbers of 20 and 100, are added
- AUV has unit speed



# 6. Experiments

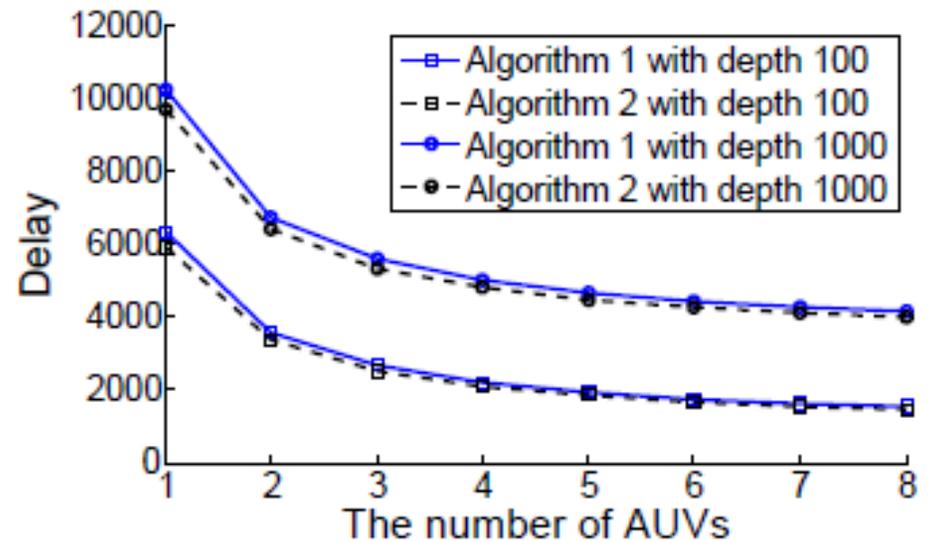


- Simulation results:



(a) Given 20 additional edges.

Sparse graph

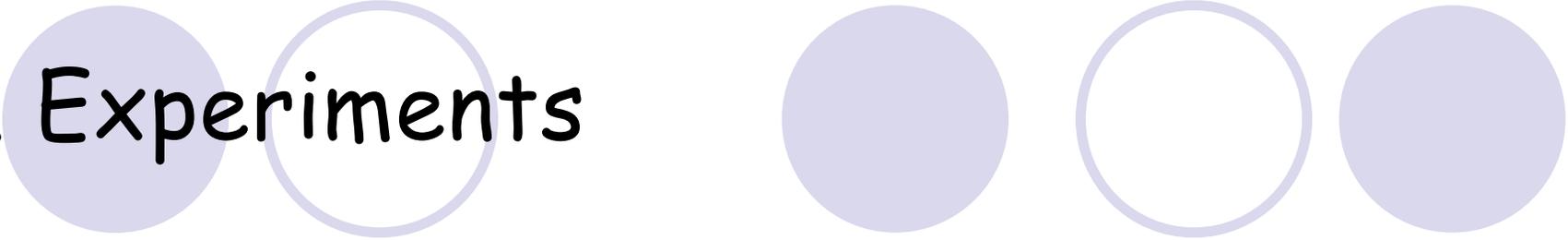


(b) Given 100 additional edges.

Dense graph

**Algorithms 1 and 2:** cycles with sensing edges and non-sensing edges

# 6. Experiments

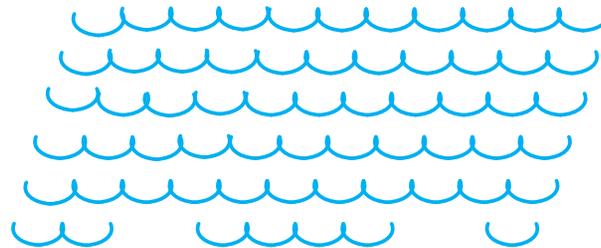


- Summary:
  - A sparser graph leads to a larger gap between **Algorithms 1 and 2**
  - The gap between **Algorithms 1 and 2** is becoming smaller, when the trace gets denser
  - The delay reduction brought by one additional AUV decreases (i.e., the effect of diminishing return)

# 7. Experiments

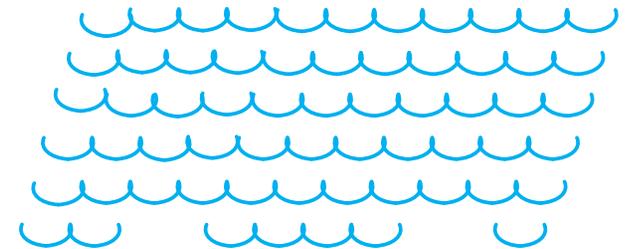
- 2-D and 3-D pseudo search space

Water Surface

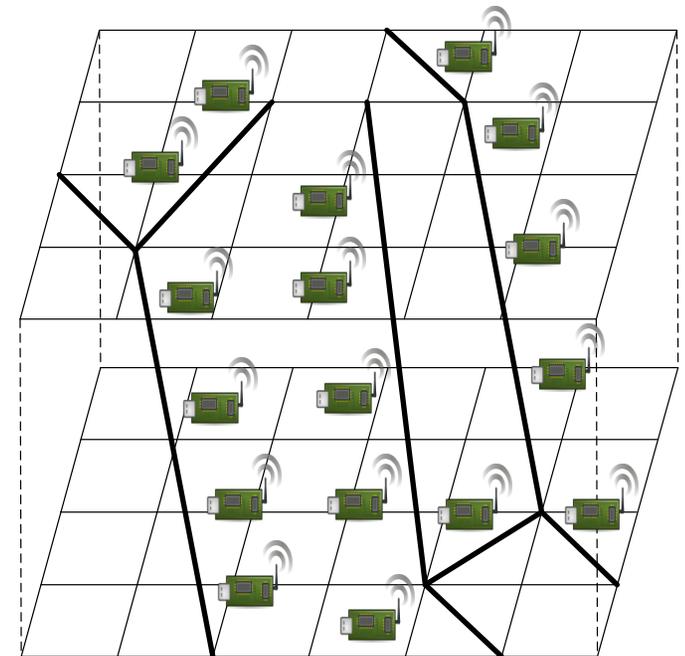
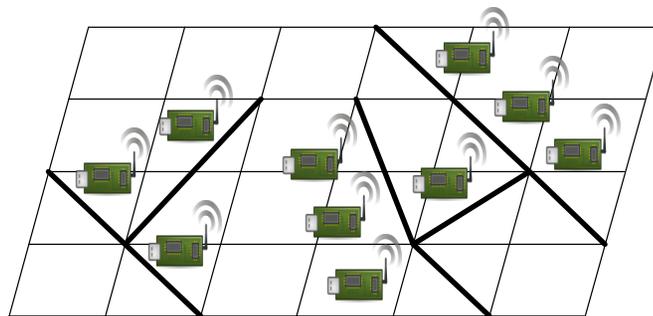


- 10 AUVs

Water Surface



- Depth randomly chosen from 50 to 150



# 6. Experiments

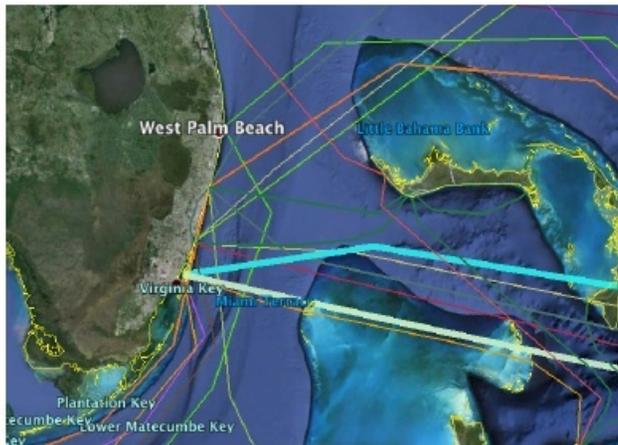
- Results for 2-D and 3-D search spaces

Trace setting	Comparison algorithms	The scenario	
		2-D scenario	3-D scenario
100 sensing edges	Algorithm 1	1853s	1954s
	Algorithms 2, 2s, 2r	1805s, <u>1724s</u> , 1796s	1865s, <u>1794s</u> , 1843s
	Algorithms 3, 4, 5	<u>1643s</u> , 1704s, 1694s	<u>1734s</u> , 1783s, 1755s
500 sensing edges	Algorithm 1	4175s	4421s
	Algorithms 2, 2s, 2r	4104s, <u>4053s</u> , 4089s	4363s, <u>4312s</u> , 4355s
	Algorithms 3, 4, 5	<u>3861s</u> , 3978s, 3942s	<u>4144s</u> , 4301s, 4234s

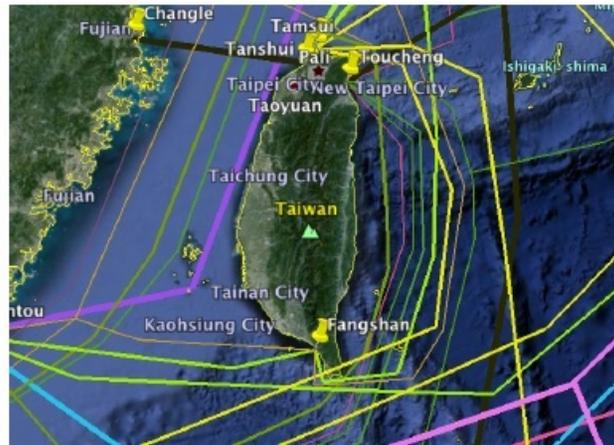
- **Algorithms 1 and 2:** cycles with sensing edges and non-sensing edges
- **Algorithms 2s and 2r:** adjust the surface point at the end of sensing edges, and round off the length of sensing edges in **Algorithm 2**
- **Algorithms 3, 4, and 5:** cycle merges with three merging criteria: largest delay reduction, largest cycle circumference difference, closest geographical distance

# 6. Experiments

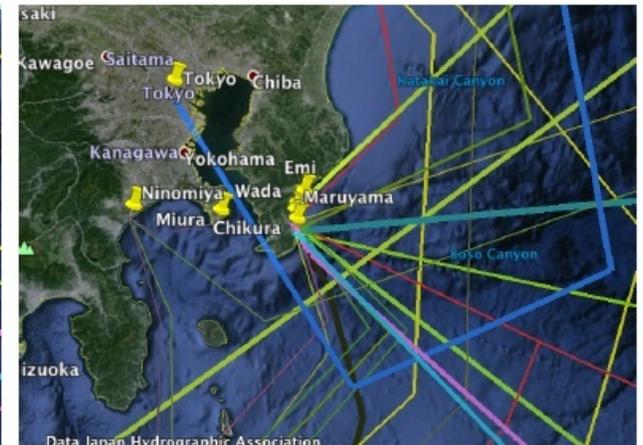
- Real data-driven experiments
  - Oil pipes in Florida, Taiwan, and Japan
  - Sea depth 3,790m (average depth over the world)
  - AUV cruising speed 37km/h
  - AUV diving/surfacing speed 26km/h



(a) Florida oil pipes.



(b) Taiwan oil pipes.



(c) Japan oil pipes.

# 6. Experiments

- Results for Florida (in hours)

Trace setting	Comparison algorithms	The number of AUVs	
		10 AUVs	20 AUVs
Florida oil pipe trace	Algorithm 1	0.59h	0.36h
	Algorithms 2, 2s, 2r	0.52h, 0.49h, 0.52h	0.34h, 0.32h, 0.34h
	Algorithms 3, 4, 5	0.45h, 0.43h, 0.40h	0.26h, 0.23h, 0.21h

- **Algorithm 1**: cycle with only sensing edges
- **Algorithms 2, 2s, and 2r**: cycle with non-sensing edges, adjust resurface points, and round off sensing edge schedules
- **Algorithms 3, 4, and 5**: cycle merges with three merging criteria: largest delay reduction, largest cycle circumference difference, closest geographical distance

# 6. Experiments

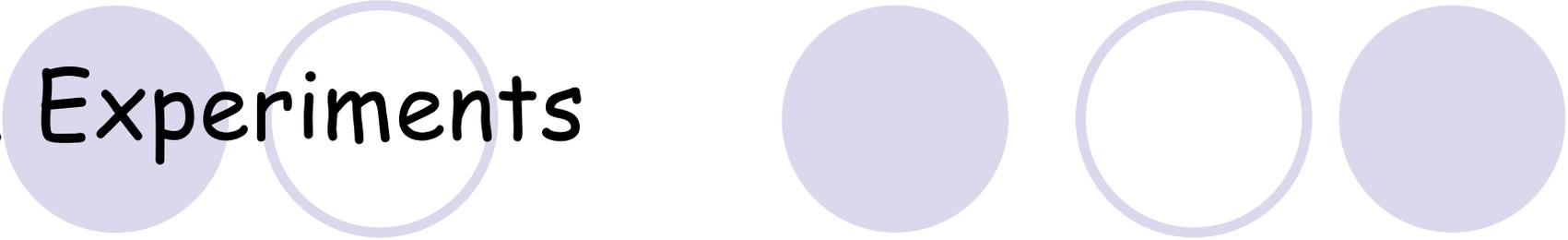
- Results for Taiwan (in hours)

Trace setting	Comparison algorithms	The number of AUVs	
		10 AUVs	20 AUVs
Taiwan oil pipe trace	Algorithm 1	7.87h	7.51h
	Algorithms 2, 2s, 2r	7.49h, 7.26h, 7.29h	7.24h, 7.05h, 7.04h
	Algorithms 3, 4, 5	6.76h, 6.95h, 6.86h	6.47h, 6.67h, 6.61h

- Results for Japan (in hours)

Trace setting	Comparison algorithms	The number of AUVs	
		10 AUVs	20 AUVs
Japan oil pipe trace	Algorithm 1	9.84h	8.92h
	Algorithms 2, 2s, 2r	8.65h, 8.22h, 8.17h	8.13h, 7.85h, 7.85h
	Algorithms 3, 4, 5	7.75h, 7.56h, 7.81h	7.43h, 7.29h, 7.38h

# 6. Experiments



- Summary:
  - There is a significant performance gap between **Algorithms 1 and 2**, since the real trace is sparse
  - **Algorithm 2s** can reduce the average data reporting delay of **Algorithm 2** by about 5% (AUVs should not resurface at non-sensing edges)
  - **Algorithm 2r** may not outperform **Algorithm 2s**
  - **Algorithms 3, 4, and 5** have different performances, depending on the trace

# 7. Conclusions and Future Work

- The **AUV trajectory planning** determines the AUV resurfacing frequencies and their locations
- The deep sea trajectory planning is simplified to an **extended Euler cycle problem**
- Future Work
  - More sophisticated AUV **routing & resurfacing policies**
  - More real data-driven experiments in **3-D search**
  - Extension to the notion of **age of information**
  - Overall architectural design for **multi-tiered networks**

# Questions



- J. Wu and H. Zheng, "On efficient data collection and event detection with delay minimization in deep sea," *Proc. of ACM CHANTS*, 2014.
- H. Zheng, N. Wang, and J. Wu, "Minimizing deep sea data collection delay with autonomous underwater vehicles," *Journal of Parallel and Distributed Computing*, 2017.