

## Chapter 6

## Dynamic Programming

## Algorithmic Paradigms

Greedy. Build up a solution incrementally, myopically optimizing some local criterion.

Divide-and-conquer. Break up a problem into sub-problems, solve each sub-problem independently, and combine solution to sub-problems to form solution to original problem.

Dynamic programming. Break up a problem into a series of overlapping sub-problems, and build up solutions to larger and larger sub-problems.

## Dynamic Programming History

Bellman. [1950s] Pioneered the systematic study of dynamic programming.
Etymology.

- Dynamic programming = planning over time.
- Secretary of Defense was hostile to mathematical research.
- Bellman sought an impressive name to avoid confrontation.
"it's impossible to use dynamic in a pejorative sense"
"something not even a Congressman could object to"

Reference: Bellman, R. E. Eye of the Hurricane, An Autobiography.

## Dynamic Programming Applications

Areas.

- Bioinformatics.
- Control theory.
- Information theory.
- Operations research.
- Computer science: theory, graphics, AI, compilers, systems, ....

Some famous dynamic programming algorithms.

- Unix diff for comparing two files.
- Viterbi for hidden Markov models.
- Smith-Waterman for genetic sequence alignment.
- Bellman-Ford for shortest path routing in networks.
- Cocke-Kasami-Younger for parsing context free grammars.


### 6.1 Weighted Interval Scheduling

## Weighted Interval Scheduling

Weighted interval scheduling problem.

- Job $j$ starts at $s_{j}$, finishes at $f_{j}$, and has weight or value $v_{j}$.
- Two jobs compatible if they don't overlap.
- Goal: find maximum weight subset of mutually compatible jobs.



## Unweighted Interval Scheduling Review

Recall. Greedy algorithm works if all weights are 1.

- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

Observation. Greedy algorithm can fail spectacularly if arbitrary weights are allowed.


## Weighted Interval Scheduling

Notation. Label jobs by finishing time: $f_{1} \leq f_{2} \leq \ldots \leq f_{n}$. Def. $p(j)=$ largest index $i<j$ such that $j o b i$ is compatible with $j$.
$E x: p(8)=5, p(7)=3, p(2)=0$.


## Dynamic Programming: Binary Choice

Notation. OPT(j) = value of optimal solution to the problem consisting of job requests $1,2, \ldots, j$.

- Case 1: OPT selects job j.
- collect profit $\mathrm{v}_{\mathrm{j}}$
- can't use incompatible jobs $\{p(j)+1, p(j)+2, \ldots, j-1\}$
- must include optimal solution to problem consisting of remaining compatible jobs $1,2, \ldots, p(j)$
optimal substructure
- Case 2: OPT does not select job j.
- must include optimal solution to problem consisting of remaining compatible jobs 1, 2, ..., j-1

$$
O P T(j)=\left\{\begin{array}{cl}
0 & \text { if } \mathrm{j}=0 \\
\max \left\{v_{j}+O P T(p(j)), O P T(j-1)\right\} & \text { otherwise }
\end{array}\right.
$$

## Weighted Interval Scheduling: Brute Force

Brute force algorithm.


```
Sort jobs by finish times so that f}\mp@subsup{f}{1}{}\leq\mp@subsup{f}{2}{}\leq\ldots,\ldots\mp@subsup{f}{n}{}
Compute p(1), p(2),\ldots,p(n)
Compute-Opt(j) {
    if (j = 0)
        return 0
    else
        return max(vj + Compute-Opt(p(j)), Compute-Opt(j-1))
}
```


## Weighted Interval Scheduling: Brute Force

Observation. Recursive algorithm fails spectacularly because of redundant sub-problems $\Rightarrow$ exponential algorithms.

Ex. Number of recursive calls for family of "layered" instances grows like Fibonacci sequence.


## Weighted Interval Scheduling: Memoization

Memoization. Store results of each sub-problem in a cache; lookup as needed.

```
Input: n, si,\ldots,\mp@subsup{S}{n}{},\mp@subsup{f}{1}{},\ldots,\mp@subsup{f}{n}{},\mp@subsup{\mathbf{v}}{1}{},\ldots,\mp@subsup{\mathbf{V}}{\textrm{n}}{}
Sort jobs by finish times so that }\mp@subsup{f}{1}{}\leq\mp@subsup{f}{2}{}\leq\ldots\leq\mp@subsup{f}{n}{}
Compute p(1), p(2), ..., p(n)
for j = 1 to n
    M[j] = empty
M[0] = 0 global array
M-Compute-Opt(j) {
    if (M[j] is empty)
        M[j] = max(vij + M-Compute-Opt(p(j)), M-Compute-Opt(j-1))
    return M[j]
}
```


## Weighted Interval Scheduling: Running Time

Claim. Memoized version of algorithm takes $O(n \log n)$ time.

- Sort by finish time: $O(n \log n)$.
- Computing $\mathrm{p}(\cdot): O(n \log n)$ via sorting by start time.
- m-Compute-Opt (j): each invocation takes $O(1)$ time and either
- (i) returns an existing value $\mathrm{m}[\mathrm{j}]$
- (ii) fills in one new entry m[j] and makes two recursive calls
- Progress measure $\Phi=\#$ nonempty entries of $\mathrm{m}[\mathrm{]}$.
- initially $\Phi=0$, throughout $\Phi \leq n$.
- (ii) increases $\Phi$ by $1 \Rightarrow$ at most $2 n$ recursive calls.
- Overall running time of m -Compute-Opt $(\mathrm{n})$ is $\mathrm{O}(\mathrm{n})$. .

Remark. $O(n)$ if jobs are pre-sorted by start and finish times.

## Weighted Interval Scheduling: Finding a Solution

Q. Dynamic programming algorithms computes optimal value.

What if we want the solution itself?
A. Do some post-processing.

```
Run M-Compute-Opt(n)
Run Find-Solution(n)
Find-Solution(j) {
    if (j = 0)
        output nothing
    else if (vj + M[p(j)] > M[j-1])
        print j
        Find-Solution(p(j))
    else
        Find-Solution(j-1)
}
```

- \# of recursive calls $\leq n \Rightarrow O(n)$.


## Weighted Interval Scheduling: Bottom-Up

Bottom-up dynamic programming. Unwind recursion.

```
Input: n, si,\ldots,\mp@subsup{S}{n}{},\mp@subsup{f}{1}{},\ldots,\mp@subsup{f}{n}{},\mp@subsup{\textrm{v}}{1}{},\ldots,\mp@subsup{\mathbf{v}}{\textrm{n}}{}
Sort jobs by finish times so that f}\mp@subsup{f}{1}{}\leq\mp@subsup{f}{2}{}\leq\ldots,\ldots\mp@subsup{f}{n}{}
Compute p(1), p(2), .., p(n)
Iterative-Compute-Opt {
    M[0] = 0
    for j = 1 to n
        M[j] = max(vij +M[p(j)], M[j-1])
}
```


### 6.3 Segmented Least Squares

## Segmented Least Squares

## Least squares.

- Foundational problem in statistic and numerical analysis.
- Given $n$ points in the plane: $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$.
- Find $a$ line $y=a x+b$ that minimizes the sum of the squared error:

$$
S S E=\sum_{i=1}^{n}\left(y_{i}-a x_{i}-b\right)^{2}
$$



Solution. Calculus $\Rightarrow$ min error is achieved when

$$
a=\frac{n \sum_{i} x_{i} y_{i}-\left(\sum_{i} x_{i}\right)\left(\sum_{i} y_{i}\right)}{n \sum_{i} x_{i}^{2}-\left(\sum_{i} x_{i}\right)^{2}}, \quad b=\frac{\sum_{i} y_{i}-a \sum_{i} x_{i}}{n}
$$

## Segmented Least Squares

Segmented least squares.

- Points lie roughly on a sequence of several line segments.
- Given $n$ points in the plane $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$ with
- $x_{1}<x_{2}<\ldots<x_{n}$, find a sequence of lines that minimizes $f(x)$.
Q. What's a reasonable choice for $f(x)$ to balance accuracy and parsimony?
$\stackrel{\uparrow}{\text { number of lines }}$



## Segmented Least Squares

Segmented least squares.

- Points lie roughly on a sequence of several line segments.
- Given $n$ points in the plane $\left(x_{1}, y_{1}\right),\left(x_{2}, y_{2}\right), \ldots,\left(x_{n}, y_{n}\right)$ with
- $x_{1}<x_{2}<\ldots<x_{n}$, find a sequence of lines that minimizes:
- the sum of the sums of the squared errors $E$ in each segment
- the number of lines $L$
- Tradeoff function: $E+c L$, for some constant $c>0$.



## Parsimony theory

Principle of parsimony

- A theory should provide the simplest possible explanation for a phenomenon.

Occam's razor

- The simplest of two competing theories is to be preferred.

The KISS principle

- Keep in Simple, Stupid!

Good theory

- Exhibits an aesthetic quality, that a good theory is beautiful or natural.


## Dynamic Programming: Multiway Choice

Notation.

- $\operatorname{OPT}(j)=$ minimum cost for points $p_{1}, p_{i+1}, \ldots, p_{j}$.
- $e(i, j)=$ minimum sum of squares for points $p_{i}, p_{i+1}, \ldots, p_{j}$.

To compute OPT(j):

- Last segment uses points $p_{i}, p_{i+1}, \ldots, p_{j}$ for some $i$.
- Cost $=e(i, j)+c+$ OPT $(i-1)$.

$$
\operatorname{OPT}(j)= \begin{cases}0 & \text { if } \mathrm{j}=0 \\ \min _{1 \leq i \leq j}\{e(i, j)+c+O P T(i-1)\} & \text { otherwise }\end{cases}
$$

## Segmented Least Squares: Algorithm

```
INPUT: n, p
Segmented-Least-Squares() {
    M[0] = 0
    for j = 1 to n
        for i = j down to 1
            compute the least square error e }\mp@subsup{e}{ij}{}\mathrm{ for
            the segment p pi,\ldots, p p
    for j = 1 to n
        M[j] = min}1\leqi\leqj(\mp@subsup{e}{ij}{}+c+M[i-1]
    return M[n]
}
```

Running time. $O\left(n^{3}\right)$. can be improved to $O\left(n^{2}\right)$ by pre-computing various statistics

- Bottleneck = computing e $(i, j)$ for $O\left(n^{2}\right)$ pairs, $O(n)$ per pair using previous formula.


### 6.4 Knapsack Problem

## Knapsack Problem

Knapsack problem.
. Given n objects and a "knapsack."

- Item i weighs $w_{i}>0$ kilograms and has value $v_{i}>0$.
- Knapsack has capacity of W kilograms.
- Goal: fill knapsack so as to maximize total value.

Ex: $\{3,4\}$ has value 40 .

| $\#$ | value | weight |
| :---: | :---: | :---: |
| 1 | 1 | 1 |
| 2 | 6 | 2 |
| 3 | 18 | 5 |
| 4 | 22 | 6 |
| 5 | 28 | 7 |

Greedy: repeatedly add item with maximum ratio $v_{i} / w_{i}$.
Ex: $\{5,2,1\}$ achieves only value $=35 \Rightarrow$ greedy not optimal.

## Dynamic Programming: False Start

Def. OPT(i) = max profit subset of items $1, \ldots$, i.

- Case 1: OPT does not select item i.
- OPT selects best of $\{1,2, \ldots, i-1\}$
- Case 2: OPT selects item i.
- accepting item i does not immediately imply that we will have to reject other items
- without knowing what other items were selected before i, we don't even know if we have enough room for i

Conclusion. Need more sub-problems!

## Dynamic Programming: Adding a New Variable

Def. $\operatorname{OPT}(i, w)=\max$ profit subset of items $1, \ldots, i$ with weight limit $w$.

- Case 1: OPT does not select item i.
- OPT selects best of $\{1,2, \ldots, i-1\}$ using weight limit $w$
- Case 2: OPT selects item i.
- new weight limit $=w-w_{i}$
- OPT selects best of $\{1,2, \ldots, i-1\}$ using this new weight limit



## Knapsack Problem: Bottom-Up

Knapsack. Fill up an $n$-by-W array.

```
Input: \(\mathrm{n}, \mathrm{W}, \mathrm{w}_{1}, \ldots, \mathrm{w}_{\mathrm{N}}, \mathrm{v}_{1}, \ldots, \mathrm{v}_{\mathrm{N}}\)
for \(w=0\) to \(W\)
    \(\mathrm{M}[0, \mathrm{w}]=0\)
for \(i=1\) to \(n\)
    for \(w=1\) to \(w\)
        if ( \(\left.w_{i}>w\right)\)
            \(M[i, w]=M[i-1, w]\)
        else
            \(M[i, w]=\max \left\{M[i-1, w], v_{i}+M\left[i-1, w-w_{i}\right]\right\}\)
return \(M[n, W]\)
```

Knapsack Algorithm

$$
工 W+1
$$

|  |  | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\phi$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  | \{ 1 \} | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| $n+1$ | \{ 1,2$\}$ | 0 | 1 | 6 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 | 7 |
|  | $\{1,2,3\}$ | 0 | 1 | 6 | 7 | 7 | 18 | 19 | 24 | 25 | 25 | 25 | 25 |
|  | $\{1,2,3,4\}$ | 0 | 1 | 6 | 7 | 7 | 18 | 22 | 24 | 28 | 29 | 29 | 40 |
|  | $\{1,2,3,4,5\}$ | 0 | 1 | 6 | 7 | 7 | 18 | 22 | 28 | 29 | 34 | 34 | 40 |

OPT: $\{4,3\}$
value $=22+18=40$

| Item | Value | Weight |
| :---: | :---: | :---: |
| 1 | 1 | 1 |
| 2 | 6 | 2 |
| 3 | 18 | 5 |
| 4 | 22 | 6 |
| 5 | 28 | 7 |

## Knapsack Problem: Running Time

Running time. $\Theta(\mathrm{n}$ W).

- Not polynomial in input size!
- "Pseudo-polynomial."
- Decision version of Knapsack is NP-complete. [Chapter 8]

Knapsack approximation algorithm. There exists a poly-time algorithm that produces a feasible solution that has value within $0.01 \%$ of optimum. [Section 11.8]
6.5 RNA Secondary Structure

## RNA Secondary Structure

RNA. String $B=b_{1} b_{2} \ldots b_{n}$ over alphabet $\{A, C, G, U\}$.
Secondary structure. RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding behavior of molecule.

Ex: GUCGAUUGAGCGAAUGUAACAACGUGGCUACGGCGAGA


## RNA Secondary Structure

Secondary structure. A set of pairs $S=\left\{\left(b_{i}, b_{j}\right)\right\}$ that satisfy:

- [Watson-Crick.] S is a matching and each pair in $S$ is a WatsonCrick complement: A-U, U-A, C-G, or G-C.
- [No sharp turns.] The ends of each pair are separated by at least 4 intervening bases. If $\left(b_{i}, b_{j}\right) \in S$, then $i<j-4$.
- [Non-crossing.] If $\left(b_{i}, b_{j}\right)$ and $\left(b_{k}, b_{1}\right)$ are two pairs in $S$, then we cannot have $\mathrm{i}<\mathrm{k}<\mathrm{j}<\mathrm{l}$.

Free energy. Usual hypothesis is that an RNA molecule will form the secondary structure with the optimum total free energy.

Goal. Given an RNA molecule $B=b_{1} b_{2} \ldots b_{n}$, find a secondary structure $S$ that maximizes the number of base pairs.

## RNA Secondary Structure: Examples

## Examples.





ok

$\longleftarrow \leq 4 \longrightarrow$
sharp turn

crossing

## RNA Secondary Structure: Subproblems

First attempt. OPT $(\mathrm{j})=$ maximum number of base pairs in a secondary structure of the substring $b_{1} b_{2} \ldots b_{j}$.


Difficulty. Results in two sub-problems.

- Finding secondary structure in: $b_{1} b_{2} \ldots b_{t-1}$.
$\leftarrow$ OPT( $(-1)$
- Finding secondary structure in: $b_{t+1} b_{++2} \ldots b_{n-1}$. $\leftarrow$ need more sub-problems


## Dynamic Programming Over Intervals

Notation. OPT $(\mathrm{i}, \mathrm{j})=$ maximum number of base pairs in a secondary structure of the substring $b_{i} b_{i+1} \ldots b_{j}$.

- Case 1. If $\mathrm{i} \geq \mathrm{j}-4$.
- OPT( $\mathrm{i}, \mathrm{j})=0$ by no-sharp turns condition.
- Case 2. Base $b_{j}$ is not involved in a pair.
$-\operatorname{OPT}(i, j)=\operatorname{OPT}(i, j-1)$
- Case 3. Base $b_{j}$ pairs with $b_{+}$for some $i \leq t<j-4$.
- non-crossing constraint decouples resulting sub-problems
- OPT $(\mathrm{i}, \mathrm{j})=1+\max _{+}\{\operatorname{OPT}(\mathrm{i}, \mathrm{t}-1)+\operatorname{OPT}(\mathrm{t}+1, \mathrm{j}-1)\}$
take max over $\dagger$ such that $\mathrm{i} \leq \dagger$ < $\mathrm{j}-4$ and
$b_{+}$and $b_{j}$ are Watson-Crick complements
Remark. Same core idea in CKY algorithm to parse context-free grammars.


## Bottom Up Dynamic Programming Over Intervals

Q. What order to solve the sub-problems?
A. Do shortest intervals first.

```
RNA ( }\mp@subsup{\textrm{b}}{1}{},\ldots,\mp@subsup{b}{n}{}) 
    for k = 5, 6, .., n-1
        for i = 1, 2, .., n-k
        j = i + k
        Compute M[i, j]
    return M[1, n] using recurrence
}
```

Running time. $O\left(n^{3}\right)$.

## Dynamic Programming Summary

Recipe.

- Characterize structure of problem.
- Recursively define value of optimal solution.
- Compute value of optimal solution.
- Construct optimal solution from computed information.

Dynamic programming techniques.

- Binary choice: weighted interval scheduling.
- Multi-way choice: segmented least squares.

Viterbi algorithm for HMM also uses
DP to optimize a maximum likelihood
tradeoff between parsimony and accuracy

- Adding a new variable: knapsack.
- Dynamic programming over intervals: RNA secondary structure.

CKY parsing algorithm for context-free grammar has similar structure

Top-down vs. bottom-up: different people have different intuitions.

### 6.6 Sequence Alignment

String Similarity

How similar are two strings?

- ocurrance
- occurrence



## Edit Distance

Applications.

- Basis for Unix diff.
- Speech recognition.
- Computational biology.

Edit distance. [Levenshtein 1966, Needleman-Wunsch 1970]

- Gap penalty $\delta$; mismatch penalty $\alpha_{p q}$.
- Cost = sum of gap and mismatch penalties.

$\alpha_{T C}+\alpha_{G T}+\alpha_{A G}+2 \alpha_{C A}$


$$
2 \delta+\alpha_{C A}
$$

## Sequence Alignment

Goal: Given two strings $X=x_{1} x_{2} \ldots x_{m}$ and $Y=y_{1} y_{2} \ldots y_{n}$ find alignment of minimum cost.

Def. An alignment $M$ is a set of ordered pairs $x_{i}-y_{j}$ such that each item occurs in at most one pair and no crossings.

Def. The pair $x_{i}-y_{j}$ and $x_{i^{\prime}}-y_{j^{\prime}}$ cross if $i<i^{\prime}$, but $j>j^{\prime}$.

$$
\operatorname{cost}(M)=\underbrace{\sum_{\left(x_{i}, y_{j}\right) \in M} \alpha_{x_{i} y_{j}}}_{\text {mismatch }}+\underbrace{\sum_{i: x_{i} \text { unmatched }} \delta+\sum_{j: y_{j} \text { unmatched }} \delta}_{\text {gap }}
$$

Ex: ctaccg vs. tacatg.

| $x_{1}$ | $x_{2}$ | $x_{3}$ | $x_{4}$ | $x_{5}$ |  | $x_{6}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| C | T | A | $\mathbf{C}$ | $\mathbf{C}$ | - | G |

Sol: $M=x_{2}-y_{1}, x_{3}-y_{2}, x_{4}-y_{3}, x_{5}-y_{4}, x_{6}-y_{6}$.


## Sequence Alignment: Problem Structure

Def. OPT $(i, j)=$ min cost of aligning strings $x_{1} x_{2} \ldots x_{i}$ and $y_{1} y_{2} \ldots y_{j}$.

- Case 1: OPT matches $x_{i}-y_{j}$.
- pay mismatch for $x_{i}-y_{j}+\min$ cost of aligning two strings $x_{1} x_{2} \ldots x_{i-1}$ and $y_{1} y_{2} \ldots y_{j-1}$
- Case 2a: OPT leaves $x_{i}$ unmatched.
- pay gap for $x_{i}$ and min cost of aligning $x_{1} x_{2} \ldots x_{i-1}$ and $y_{1} y_{2} \ldots y_{j}$
- Case 2b: OPT leaves $y_{j}$ unmatched.
- pay gap for $y_{j}$ and min cost of aligning $x_{1} x_{2} \ldots x_{i}$ and $y_{1} y_{2} \ldots y_{j-1}$


```
Sequence-Alignment(m, n, \mp@subsup{x}{1}{}\mp@subsup{\mathbf{x}}{2}{}\ldots..\mp@subsup{x}{m}{},\mp@subsup{y}{1}{}\mp@subsup{\mathbf{Y}}{2}{}\ldots\mp@subsup{y}{n}{},\delta,\alpha) {
    for i = 0 to m
        M[i, 0] = i\delta
    for j = 0 to n
        M[0, j] = j\delta
    for i = 1 to m
        for j = 1 to n
            M[i, j] = min(\alpha[xi, yj] + M[i-1, j-1],
                \delta + M[i-1, j],
                \delta + M[i, j-1])
    return M[m, n]
}
```

Analysis. $\Theta(m n)$ time and space.
English words or sentences: $m, n \leq 10$.
Computational biology: $m=n=100,000$. 10 billions ops OK, but 10GB array?
6.7 Sequence Alignment in Linear Space

## Sequence Alignment: Linear Space

Q. Can we avoid using quadratic space?

Easy. Optimal value in $O(m+n)$ space and $O(m n)$ time.

- Compute $\operatorname{OPT}(\mathrm{i}, \cdot)$ from $\operatorname{OPT}(\mathrm{i}-1, \cdot)$.
- No longer a simple way to recover alignment itself.

Theorem. [Hirschberg 1975] Optimal alignment in $O(m+n)$ space and $O(m n)$ time.

- Clever combination of divide-and-conquer and dynamic programming.
- Inspired by idea of Savitch from complexity theory.

Sequence Alignment: Linear Space

Edit distance graph.

- Let $f(i, j)$ be shortest path from $(0,0)$ to $(i, j)$.
- Observation: $f(i, j)=\operatorname{OPT}(i, j)$.


Sequence Alignment: Linear Space

Edit distance graph.

- Let $f(i, j)$ be shortest path from $(0,0)$ to $(i, j)$.
- Can compute $f(\cdot, j)$ for any $j$ in $O(m n)$ time and $O(m+n)$ space.


Sequence Alignment: Linear Space

Edit distance graph.

- Let $g(i, j)$ be shortest path from $(i, j)$ to ( $m, n$ ).
- Can compute by reversing the edge orientations and inverting the roles of $(0,0)$ and $(m, n)$


Sequence Alignment: Linear Space

Edit distance graph.

- Let $g(i, j)$ be shortest path from $(i, j)$ to ( $m, n$ ).
- Can compute $g(\cdot, j)$ for any $j$ in $O(m n)$ time and $O(m+n)$ space.


Sequence Alignment: Linear Space

Observation 1. The cost of the shortest path that uses $(i, j)$ is $f(i, j)+g(i, j)$.


Sequence Alignment: Linear Space

Observation 2. let $q$ be an index that minimizes $f(q, n / 2)+g(q, n / 2)$. Then, the shortest path from $(0,0)$ to $(m, n)$ uses $(q, n / 2)$.
$n / 2$


Sequence Alignment: Linear Space

Divide: find index $q$ that minimizes $f(q, n / 2)+g(q, n / 2)$ using $D P$.

- Align $x_{q}$ and $y_{n / 2}$.

Conquer: recursively compute optimal alignment in each piece.
$n / 2$


## Sequence Alignment: Running Time Analysis Warmup

Theorem. Let $T(m, n)=$ max running time of algorithm on strings of length at most $m$ and $n . T(m, n)=O(m n \log n)$.

$$
T(m, n) \leq 2 T(m, n / 2)+O(m n) \Rightarrow T(m, n)=O(m n \log n)
$$

Remark. Analysis is not tight because two sub-problems are of size $(q, n / 2)$ and $(m-q, n / 2)$. In next slide, we save $\log n$ factor.

## Sequence Alignment: Running Time Analysis

Theorem. Let $T(m, n)=\max$ running time of algorithm on strings of length $m$ and $n . ~ T(m, n)=O(m n)$.

Pf. (by induction on n )

- $O(m n)$ time to compute $f(\cdot, n / 2)$ and $g(\cdot, n / 2)$ and find index $q$.
- $T(q, n / 2)+T(m-q, n / 2)$ time for two recursive calls.
- Choose constant $c$ so that:

```
T(m,2) \leq cm
T(2,n)}\leqc
T(m,n)\leqcmn+T(q,n/2)+T(m-q,n/2)
```

- Base cases: $m=2$ or $n=2$.
- Inductive hypothesis: $T(m, n) \leq 2 \mathrm{cmn}$.

$$
\begin{aligned}
T(m, n) & \leq T(q, n / 2)+T(m-q, n / 2)+c m n \\
& \leq 2 c q n / 2+2 c(m-q) n / 2+c m n \\
& =c q n+c m n-c q n+c m n \\
& =2 c m n
\end{aligned}
$$

